

Operating System Labs

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Operating System Labs

- Project 4
 - Due: 10 Dec.
- Oral tests of Project 3
 - Date: Nov. 27
 - How
 - 5min presentation
 - 2min Q&A

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- Oral tests of Lab 3
 - Who
 - Principle: you should take at least one oral test
 - We assume that you know all design/implementation details about your project

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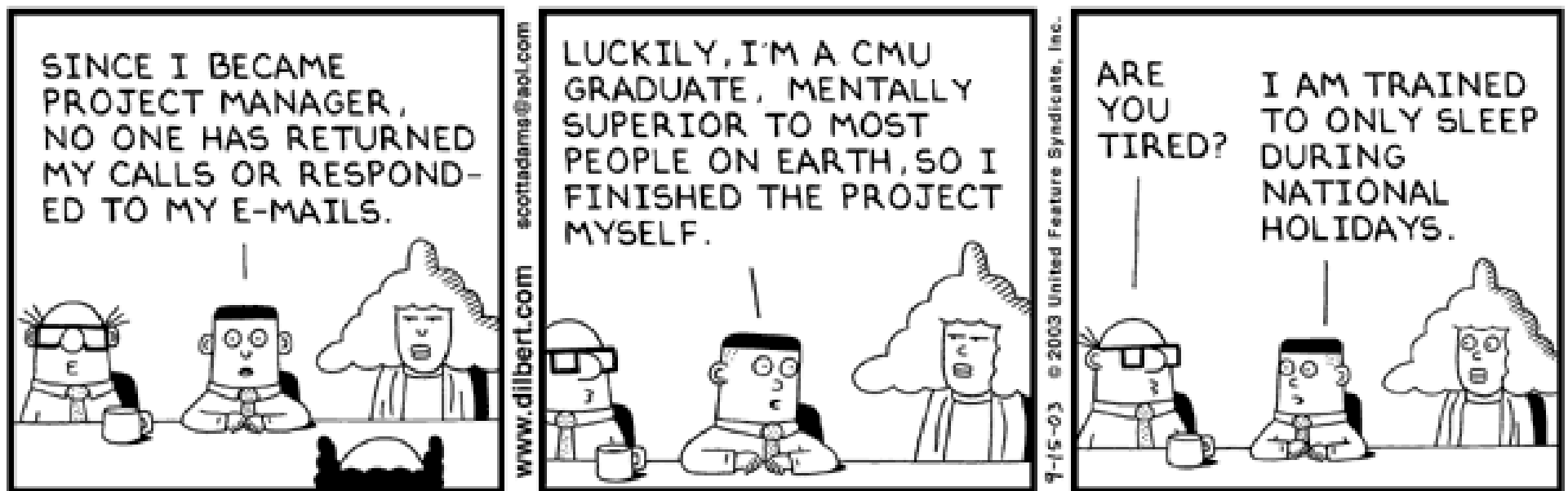
- Oral tests of Lab 3
 - Topics
 - What have you done?
 - Project background
 - How did you accomplish them?
 - data structures, algorithms,
 - Your favorite parts.
 - Features that you've tried, but failed
 - What did you learn from the project?
 - Possible future improvements
 - ...
 - Highlight your new features

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- Oral tests of Lab 3
 - Suggestions for your slides
 - The clew model and onion model
 - Minimize words, maximize pictures
 - Simple and clear
 - Large font
 - Suggestions for your talk
 - If you are an audience of your own talk...
 - Design your rhythm, pauses, actions...
 - Practice
 - Suggestions from Jonathan Shewchuk
 - <http://www.cs.berkeley.edu/~jrs/speaking.html>

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“I am trained to only sleep during national holidays”



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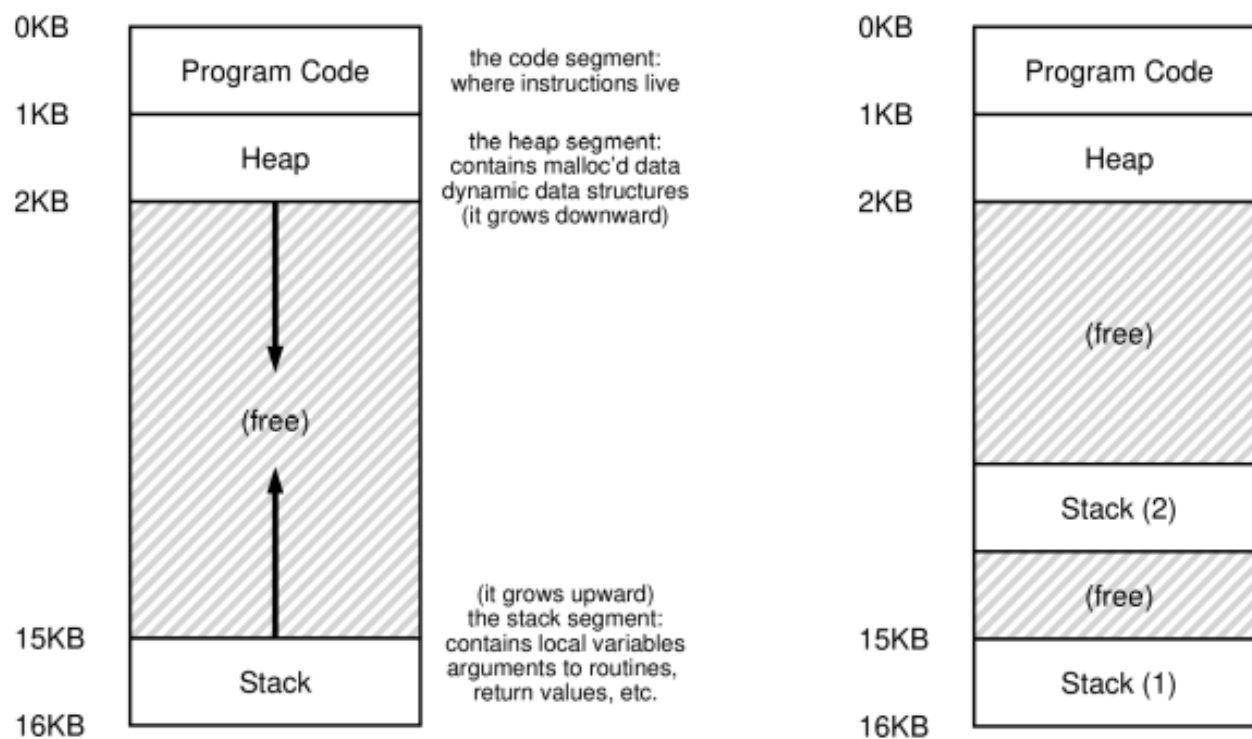
- Overview of concurrency
 - Thread
 - Two scenarios of concurrency control
 - Locks
 - Condition Variables
- Project 4

Process and Thread

- In Linux, threads are processes with shared address space
 - clone() system call with CLONE_THREAD
- Program Counter (PC)
 - Process: one PC
 - Threads: multiple PCs
- Context switch
 - Process: PCB
 - Thread: TCBs in PCB

Process and Thread

- Stack
 - Process: one stack
 - Thread: multiple stacks (thread local storage)



Process and Thread

- Why threads
 - Accelerate performance
 - Multiple processors, multi-core
 - Light Weight
 - Faster creating and managing than processes
 - Efficient communication
 - Shared address space

• Example 1: multithread

```
#include <stdio.h>
#include <assert.h>
#include <pthread.h>

void *mythread(void *arg) {
    printf("%s\n", (char *) arg);
    return NULL;
}

int main(int argc, char *argv[]) {
    pthread_t p1, p2;
    int rc;
    printf("main: begin\n");
    rc = pthread_create(&p1, NULL, mythread, "A");
    assert(rc == 0);
    rc = pthread_create(&p2, NULL, mythread, "B");
    assert(rc == 0);

    // join waits for the threads to finish
    rc = pthread_join(p1, NULL); assert(rc == 0);
    rc = pthread_join(p2, NULL); assert(rc == 0);

    printf("main: end\n");
    return 0;
}
```

- **Example 2: multithread with shared objects**

```
#include <stdio.h>
#include <assert.h>
#include <pthread.h>

static volatile int counter = 0;

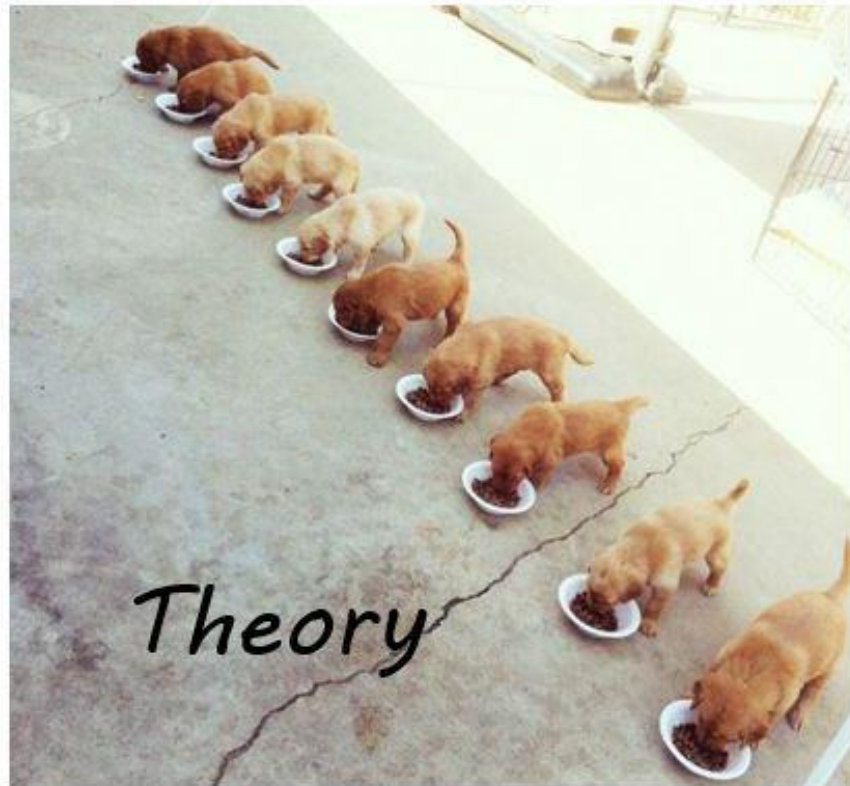
void * mythread(void *arg)
{
    printf("%s: begin\n", (char *) arg);
    for (int i = 0; i < 1e7; i++)
        counter = counter + 1;
    printf("%s: done\n", (char *) arg);
    return NULL;
}

int main(int argc, char *argv[])
{
    pthread_t p1, p2;
    int rc;
    printf("main: begin (counter = %d)\n", counter);
    rc = pthread_create(&p1, NULL, mythread, "A"); assert(rc == 0);
    rc = pthread_create(&p2, NULL, mythread, "B"); assert(rc == 0);

    rc = pthread_join(p1, NULL); assert(rc == 0);
    rc = pthread_join(p2, NULL); assert(rc == 0);

    printf("main: done with both (counter = %d)\n", counter);
    return 0;
}
```

Multithreaded programming



Thread

- Terms
 - Race condition
 - Critical section
 - Mutual exclusion

Thread

- Concurrency Control
 - Where
 - Processes with shared objects
 - Threads
 - How
 - Atomic operations
 - Helps from hardwares: Synchronizing primitives

Thread

- POSIX Thread API
 - pthread
 - Thread
 - create, control, destroy...
 - Synchronizing primitives
 - Locks
 - Condition variables
 - Semaphore
 - ...

Thread

- `pthread_create()`
 - Create a thread

```
#include <pthread.h>
```

```
int pthread_create(  
    pthread_t * thread,           // structure of thread  
    const pthread_attr_t * attr,  // thread attributes  
    void* (*start_routine)(void*), // the job  
    void *arg                     // arguments of the job  
);
```

Thread

- `pthread_join()`
 - Wait thread complete

```
#include <pthread.h>

int pthread_join(
    pthread_t thread,      // structure of thread
    void **value_ptr       // return value
);
```

Thread

- Locks (mutex)

```
#include <pthread.h>
```

```
int pthread_mutex_lock(pthread_mutex_t *mutex);  
int pthread_mutex_unlock(pthread_mutex_t *mutex);
```

```
pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;  
int rc;  
  
rc = pthread_mutex_lock(&lock);  
assert(rc == 0);  
x = x + 1; // or whatever your critical section is  
pthread_mutex_unlock(&lock);
```

Thread

- Conditional variables

```
#include <pthread.h>
int pthread_cond_wait(
    pthread_cond_t *cond,
    pthread_mutex_t *mutex);

int pthread_cond_signal(pthread_cond_t *cond);
```

Thread

- Summary
 - Processes with shared address space
 - Concurrency control
 - Critical section, race condition
 - POSIX thread library
 - pthread.h

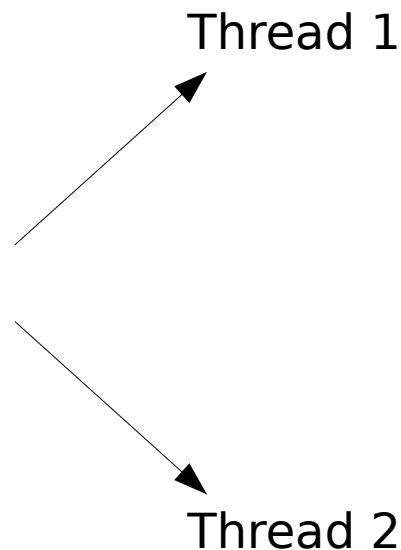
Two Scenarios in Concurrency Control

- Protect critical sections
 - Lock
 - lock(), unlock()
- Synchronize different threads
 - Conditional variable
 - signal(), wait()

Two Scenarios in Concurrency Control

- Protect critical sections

```
void func() {  
    /* start */  
    /* end */  
}
```



```
void func() {  
    lock()  
    /* start */  
    /* end */  
    unlock()  
}
```

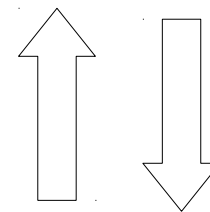
Two Scenarios in Concurrency Control

- Synchronize threads

```
void func1() {  
  
...  
/* wait some condition becomes true*/  
...  
}
```

```
void func2() {  
  
...  
/* set the condition */  
...  
}
```

Thread 1



Thread 2

Also called

1. Message passing
2. Communication
3. Synchronization

Two Scenarios in Concurrency Control

- Synchronize threads

```
void func1() {  
  
    ...  
    /* wait some condition becomes true*/  
    wait(condition_variable)  
    ...  
}
```

```
void func2() {  
  
    ...  
    /* set the condition */  
    signal(condition_variable)  
    ...  
}
```

Lock

- **Lock: a variable**

```
lock_t mutex; // some globally-allocated lock 'mutex'  
...  
lock(&mutex);  
balance = balance + 1; // critical section  
unlock(&mutex);
```

- Around critical sections
- Two states: free(unlocked) or held(locked)

Lock

- Two APIs
 - lock():
 - try to acquire the lock
 - If the lock is free (no other thread hold the lock)
 - Get the lock and enter the critical section
 - Won't return while the lock is not free
 - unlock():
 - The state of the lock is changed to free
 - One waiting thread (stuck by lock()) gets the lock

Lock

- Revoke some control from OS
 - Threads are scheduled by OS
 - Lock provide a way for programmers to break the scheduling

Lock

- How to implement a lock?
 - Criteria
 - Correctness
 - Fairness
 - Performance

Lock

- Lock implementation: disable interrupts

```
void lock(){
    disable_interrupts();
}

void unlock(){
    enable_interrupts();
}
```

- Problems
 - Require privileged operations
 - Only works for the single processor case

Lock

- Lock implementation: using flag

```
typedef struct __lock_t { int flag; } lock_t;

void init(lock_t *mutex) {
    mutex->flag = 0;          // 0 -> lock is free, 1 -> held
}

void lock(lock_t *mutex) {
    while (mutex->flag == 1) // TEST the flag
        ;                  // spin-wait (do nothing)
    mutex->flag = 1;        // now SET it!
}

void unlock(lock_t *mutex) {
    mutex->flag = 0;
}
```

Lock

- Lock implementation: using flag
 - Correctness

Thread 1	Thread 2
call lock () while (flag == 1) interrupt: switch to Thread 2	call lock () while (flag == 1) flag = 1; interrupt: switch to Thread 1
flag = 1; // set flag to 1 (too!)	

- Efficiency
 - Waste CPU time

Lock

- Lock implementation: atomic test-and-set

```
int TestAndSet(int *old_ptr, int new) {  
    int old = *old_ptr; // fetch old value at old_ptr  
    *old_ptr = new;      // store 'new' into old_ptr  
    return old;          // return the old value  
}
```

Atomically!
Hardware Instructions

- In x86
 - xchg

Lock

- Lock implementation: atomic test-and-set
 - Spin lock
 - Requires a preemptive scheduler

```
typedef struct __lock_t { int flag; } lock_t;

void init(lock_t *mutex) {
    mutex->flag = 0;          // 0 -> lock is free, 1 -> held
}

void lock(lock_t *mutex) {
    while (TestAndSet(&lock->flag, 1) == 1)
        ;                    // spin-wait (do nothing)
}

void unlock(lock_t *mutex) {
    mutex->flag = 0;
}
```

Lock

- Lock implementation: atomic test-and-set
 - **Spin lock**
 - Correctness
 - Yes
 - Fairness
 - No guarantees
 - Performance
 - Spin using CPU cycles
 - Single processor: painful
 - Multiple processors: reasonable

Lock

- Other hardware primitives
 - test-and-set
 - Compare-and-swap
 - Load-linked and store-conditional
 - Fetch-and-add
 - Fairness: assign a **ticket** for each waiting thread

Lock

```
typedef struct __lock_t { int flag; } lock_t;

void init(lock_t *mutex) {
    mutex->flag = 0;
}

void lock(lock_t *mutex) {
    while (mutex->flag == 1)
        ;
    mutex->flag = 1;
}

void unlock(lock_t *mutex) {
    mutex->flag = 0;
}
```

Buggy flag

```
typedef struct __lock_t { int flag; } lock_t;

void init(lock_t *mutex) {
    mutex->flag = 0;
}

void lock(lock_t *mutex) {
    while (TestAndSet(&lock->flag, 1) == 1)
        ;
}

void unlock(lock_t *mutex) {
    mutex->flag = 0;
}
```

Spin lock

Lock

- Problems of spin locks
 - Waste cpu time
 - N threads, only 1 hold the lock, other thread will spin
 - No guarantee on fairness (in general)
 - starvation
- How to improve?

Lock

- Sleep instead of spin
 - Assume an OS primitive `yield()`
 - Move the caller from running to ready

```
typedef struct __lock_t { int flag; } lock_t;
```

```
void init(lock_t *mutex) {  
    mutex->flag = 0;  
}
```

```
void lock(lock_t *mutex) {  
    while (TestAndSet(&lock->flag, 1) == 1)  
        yield();  
}
```

```
void unlock(lock_t *mutex) {  
    mutex->flag = 0;  
}
```

Problem:

1. cost of context switch is substantial
2. fairness: still not handled

Lock

```
typedef struct __lock_t { int flag; } lock_t;

void init(lock_t *mutex) {
    mutex->flag = 0;
}

void lock(lock_t *mutex) {
    while (TestAndSet(&lock->flag, 1) == 1)
        ;
}

void unlock(lock_t *mutex) {
    mutex->flag = 0;
}
```

Spin lock

```
typedef struct __lock_t { int flag; } lock_t;

void init(lock_t *mutex) {
    mutex->flag = 0;
}

void lock(lock_t *mutex) {
    while (TestAndSet(&lock->flag, 1) == 1)
        yield();
}

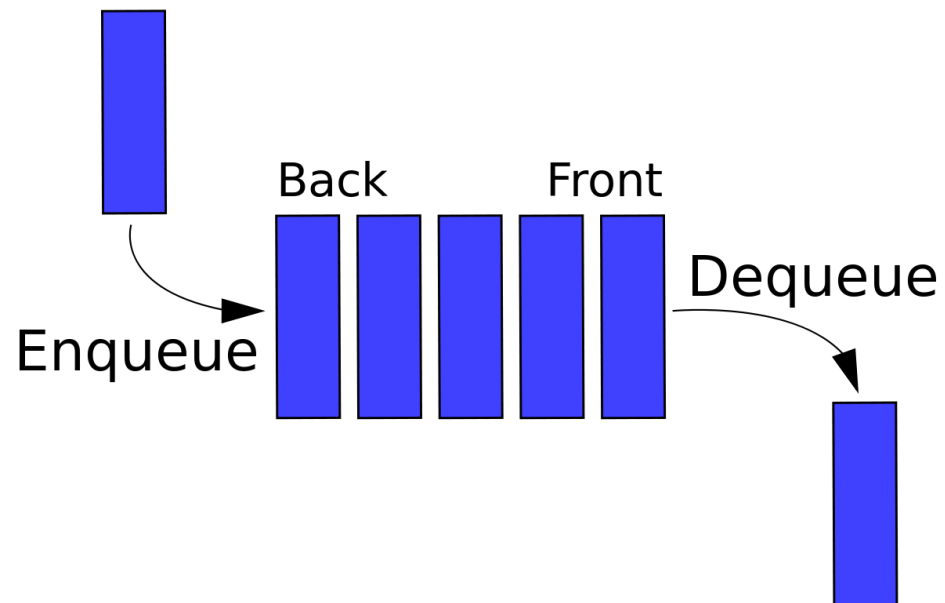
void unlock(lock_t *mutex) {
    mutex->flag = 0;
}
```

Sleep instead of spin

Question:
How to improve fairness?

Lock

- Improve fairness
 - Queue



Lock

- Improve fairness

```
typedef struct __lock_t { int flag; } lock_t;
queue_t q;

void init(lock_t *mutex) {
    mutex->flag = 0;
    init_queue(q);
}

void lock(lock_t *mutex) {
    while (TestAndSet(&lock->flag, 1) == 1) {
        enqueue(q); // put the thread in q
        yield();
    }
}

void unlock(lock_t *mutex) {
    mutex->flag = 0;
    dequeue(q); // wakeup a thread in q
}
```

enqueue/dequeue should be **thread-safe**.

How to?

1. play queue operations in kernel
2. spin lock for the queue operation.

Lock

- Improve fairness
 - Assume two OS primitives (from **Solaris**)
 - park(): put the calling thread to sleep
 - unpark(tid): wake a particular thread
 - A parked thread wakes up when an unpark is called.
 - A queue: prevent starvation

```
typedef struct __lock_t {
    int flag;
    int guard;
    queue_t *q;
} lock_t;
```

```
void lock_init(lock_t *m)
{
    m->flag = 0;
    m->guard = 0;
    queue_init(m->q);
}
```

Questions:

1. does it avoid spin?
does **guard** necessary?
2. can we change order?
3. why no flag=0 in unlock?
4. wakeup/waiting race

```
void lock(lock_t *m) {
    while (TestAndSet(&m->guard, 1) == 1)
        ; //acquire guard lock by spinning
    if (m->flag == 0) {
        m->flag = 1; // lock is acquired
        m->guard = 0;
    } else {
        queue_add(m->q, getpid());
        m->guard = 0;
        park();
    }
}
```

```
setpark();
m->guard = 0;
park();
```

```
void unlock(lock_t *m) {
    while (TestAndSet(&m->guard, 1) == 1)
        ; //acquire guard lock by spinning
    if (queue_empty(m->q))
        m->flag = 0; // no one wants it
    else
        m->flag = 0;
    unpark(queue_remove(m->q));
    m->guard = 0;
}
```

Lock

- sleeping instead of spin + spin on queue
 - Two OS(Solaris) primitives
 - park(), setpark()
 - unpark()
 - Avoid spinning by **guard**
 - Avoid starvation by a queue

Lock

- Queue in kernel (the linux way)
 - OS primitive: futex
 - A memory location
 - A in-kernel queue (for every futex)

Lock

- Futex: wait and wake
 - `futex_wait(address, expected)`
 - If (`*address == expected`)
 - put caller in the queue, let it sleep
 - else
 - Return immediately
 - `futex_wake(address)`
 - Wake one thread waiting for the futex
- Let's see some real code (`lowlevellock.h` of `glibc`)

```
void mutex_lock (int *mutex) {
    int v;
    // Bit 31 was clear, we got the mutex (this is the fastpath)
    if (atomic_bit_test_set (mutex, 31) == 0)
        return;
    atomic_increment (mutex);
    while (1) {
        if (atomic_bit_test_set (mutex, 31) == 0) {
            atomic_decrement (mutex);
            return;
        }

        /* We have to wait now. First make sure the futex value
           we are monitoring is truly negative (i.e. locked). */
        v = *mutex;
        if (v >= 0)
            continue;
        futex_wait (mutex, v);
    }
}
```

```
void mutex_unlock (int *mutex) {
```

```
}
```


Lock

- Lock implementation: two-phase locks
 - park/futex are system call!
 - Spinning could be useful when the lock is about to release
- Two-phase locks
 - In the first phase: spins for a while
 - In the second phase: sleep and wait
 - Hybrid approach
- Above Linux lock is a two-phase lock
 - Spin only once

Can you implement a two-phase lock in your project?

Lock

- Lock implementation: summary
 - Disable interrupts
 - Flag
 - Spin locks
 - Test-and-set
 - Compare-and-swap
 - Fetch-and-add
 - Sleep instead of spinning
 - park(), setpark(), unpark()
 - Futex
 - Two-phase locks

Condition Variables

- Lock
 - Protect critical sections
- Condition Variables
 - A thread **wait** some condition becomes true
 - Another thread **signal** changes of the condition
 - `wait()`, `signal()`

Condition Variables

```
void *child(void *arg) {  
    printf("child\n");  
    // XXX how to indicate we are done?  
    return NULL;  
}  
  
int main(int argc, char *argv[]) {  
    printf("parent: begin\n");  
    pthread_t c;  
    Pthread_create(&c, NULL, child, NULL);  
    // XXX how to wait for child?  
    printf("parent: end\n");  
    return 0;  
}
```

Condition Variables

```
volatile int done = 0;
```

```
void *child(void *arg) {  
    printf("child\n");  
    done = 1;  
    return NULL;  
}
```

1. Waste CPU cycles
2. May be incorrect

```
int main(int argc, char *argv[]) {  
    printf("parent: begin\n");  
    pthread_t c;  
    Pthread_create(&c, NULL, child, NULL);  
    while (done == 0)  
        ;  
    printf("parent: end\n");  
    return 0;  
}
```

Condition Variables

- Definition
 - A CV is an explicit queue
 - Threads put themselves on the queue when some condition is not satisfied, and sleep
 - Some other threads change the condition, and wake one/more threads in the queue
- Two API associated with a CV
 - wait()
 - signal()

Condition Variables

- POSIX calls (pthread.h)

```
#include <pthread.h>

// declare
pthread_cond_t c;

// wait()
int pthread_cond_wait(pthread_cond_t *c, pthread_mutex_t *m);

// signal()
int pthread_cond_signal(pthread_cond_t *c);
```

```
int done = 0;  
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;  
pthread_cond_t c = PTHREAD_COND_INITIALIZER;
```

```
void *child(void *arg) {  
    printf("child\n");  
    thr_exit();  
    return NULL;  
}
```

```
int main(int argc, char *argv[]) {  
    printf("parent: begin\n");  
    pthread_t p;  
    Pthread_create(&p, NULL, child, NULL);  
    thr_join();  
    printf("parent: end\n");  
    return 0;  
}
```



```
int done = 0;
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t c = PTHREAD_COND_INITIALIZER;
```

```
void thr_exit() {
    Pthread_mutex_lock(&m);
    done = 1;
    Pthread_cond_signal(&c);
    Pthread_mutex_unlock(&m);
}
```

pthread_cond_signal(&c)
Wakeup a waiting thread on c

```
void *child(void *arg) {
    printf("child\n");
    thr_exit();
    return NULL;
}
```

```
void thr_join() {
    Pthread_mutex_lock(&m);
    while (done == 0)
        Pthread_cond_wait(&c, &m);
    Pthread_mutex_unlock(&m);
}
```

pthread_cond_wait(&c, &m)

1. assume the lock is held
2. put caller at the queue
release the lock
let caller sleep
3. when wakeup:
 - re-acquire the lock,
 - pop from the queue

```
int main(int argc, char *argv[]) {
    printf("parent: begin\n");
    pthread_t p;
    Pthread_create(&p, NULL, child, NULL);
    thr_join();
    printf("parent: end\n");
    return 0;
}
```

Consider two possibilities:

1. parent first
2. child first

```
int done = 0;
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t c = PTHREAD_COND_INITIALIZER;
```

Alternative 1: No "done"

```
void thr_exit() {
    Pthread_mutex_lock(&m);
    done = 1;
    Pthread_cond_signal(&c);
    Pthread_mutex_unlock(&m);
}
```

```
void thr_exit() {
    Pthread_mutex_lock(&m);
    Pthread_cond_signal(&c);
    Pthread_mutex_unlock(&m);
}
```

```
void *child(void *arg) {
    printf("child\n");
    thr_exit();
    return NULL;
}
```

```
void thr_join() {
    Pthread_mutex_lock(&m);
    while (done == 0)
        Pthread_cond_wait(&c, &m);
    Pthread_mutex_unlock(&m);
}
```

```
void thr_join() {
    Pthread_mutex_lock(&m);
    Pthread_cond_wait(&c, &m);
    Pthread_mutex_unlock(&m);
}
```

```
int main(int argc, char *argv[]) {
    printf("parent: begin\n");
    pthread_t p;
    Pthread_create(&p, NULL, child, NULL);
    thr_join();
    printf("parent: end\n");
    return 0;
}
```

```
int done = 0;
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t c = PTHREAD_COND_INITIALIZER;
```

Alternative 1: No "done"

```
void thr_exit() {
    Pthread_mutex_lock(&m);
    Pthread_cond_signal(&c);
    Pthread_mutex_unlock(&m);
}
```

```
void *child(void *arg) {
    printf("child\n");
    thr_exit();
    return NULL;
}
```

```
void thr_join() {
    Pthread_mutex_lock(&m);
    Pthread_cond_wait(&c, &m);
    Pthread_mutex_unlock(&m);
}
```

```
int main(int argc, char *argv[]) {
    printf("parent: begin\n");
    pthread_t p;
    Pthread_create(&p, NULL, child, NULL);
    thr_join();
    printf("parent: end\n");
    return 0;
}
```

```
int done = 0;
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t c = PTHREAD_COND_INITIALIZER;
```

Alternative 2: No lock

```
void thr_exit() {
    Pthread_mutex_lock(&m);
    done = 1;
    Pthread_cond_signal(&c);
    Pthread_mutex_unlock(&m);
}
```

```
void thr_exit() {
    done = 1;
    Pthread_cond_signal(&c);
}
```

```
void *child(void *arg) {
    printf("child\n");
    thr_exit();
    return NULL;
}
```

```
void thr_join() {
    Pthread_mutex_lock(&m);
    while (done == 0)
        Pthread_cond_wait(&c, &m);
    Pthread_mutex_unlock(&m);
}
```

```
void thr_join() {
    if (done == 0)
        Pthread_cond_wait(&c);
}
```

```
int main(int argc, char *argv[]) {
    printf("parent: begin\n");
    pthread_t p;
    Pthread_create(&p, NULL, child, NULL);
    thr_join();
    printf("parent: end\n");
    return 0;
}
```

```
int done = 0;
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t c = PTHREAD_COND_INITIALIZER;
```

Alternative 2: No lock

```
void thr_exit() {
    done = 1;
    Pthread_cond_signal(&c);
}
```

```
void *child(void *arg) {
    printf("child\n");
    thr_exit();
    return NULL;
}
```

```
void thr_join() {
    if (done == 0)
        Pthread_cond_wait(&c);
}
```

```
int main(int argc, char *argv[]) {
    printf("parent: begin\n");
    pthread_t p;
    Pthread_create(&p, NULL, child, NULL);
    thr_join();
    printf("parent: end\n");
    return 0;
}
```

Condition Variables

- Summary
 - A queue
 - Wait() and signal()
 - Hold the lock!

Project 4



The Dark Forest of Threads